

Budget Overview
85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

514 Optometry Board
Appropriation Years: 2018-19

	GENERAL REVENUE FUNDS				OTHER FUNDS				ALL FUNDS	
	2017	2018	2017	2018	2017	2018	2017	2018	2017	2018
Goal: 1. Manage Quality Program of Examination and Licensure, Enforce Statutes										
1.1.1. Licensure And Enforcement	276,510	277,808					50,754	45,321	327,264	323,129
1.1.2. Texas.Gov	21,995	21,230							21,995	21,230
1.1.3. National Practitioner Data Bank		9,092								9,092
1.1.4. Indirect Administration	90,228	104,544							90,228	104,544
1.1.5. Peer Assistance	36,000	36,000							36,000	36,000
Total, Goal	424,733	448,674					50,754	45,321	475,487	493,995
Total, Agency	424,733	448,674					50,754	45,321	475,487	493,995
Total FTEs									6.7	7.0

2.A. Summary of Budget By Strategy

DATE : 2/12/2018

TIME : 9:26:31AM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 514 Agency name: Optometry Board

Goal/Objective/STRATEGY	EXP 2016	EXP 2017	BUD 2018
1 Manage Quality Program of Examination and Licensure, Enforce Statutes			
1 Operate Licensing and Enforcement System to Ensure Standards Are Met			
1 LICENSURE AND ENFORCEMENT	\$329,089	\$327,264	\$323,129
2 TEXAS.GOV	\$21,255	\$21,995	\$21,230
3 NATIONAL PRACTITIONER DATA BANK	\$0	\$0	\$9,092
4 INDIRECT ADMINISTRATION	\$89,780	\$90,228	\$104,544
5 PEER ASSISTANCE	\$36,000	\$36,000	\$36,000
TOTAL, GOAL 1	\$476,124	\$475,487	\$493,995

2.A. Summary of Budget By Strategy

DATE : 2/12/2018

TIME : 9:26:31AM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 514 Agency name: Optometry Board

Goal/Objective/STRATEGY	EXP 2016	EXP 2017	BUD 2018
General Revenue Funds:			
1 General Revenue Fund	\$423,766	\$424,733	\$448,674
	\$423,766	\$424,733	\$448,674
Other Funds:			
666 Appropriated Receipts	\$8,775	\$6,171	\$8,000
777 Interagency Contracts	\$43,583	\$44,583	\$37,321
	\$52,358	\$50,754	\$45,321
TOTAL, METHOD OF FINANCING	\$476,124	\$475,487	\$493,995
FULL TIME EQUIVALENT POSITIONS	6.8	6.7	7.0

2.B. Summary of Budget By Method of Finance
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **2/12/2018**
 TIME: **9:27:28AM**

Agency code: **514** Agency name: **Optometry Board**

METHOD OF FINANCING		Exp 2016	Exp 2017	Bud 2018
<u>GENERAL REVENUE</u>				
<u>1</u>	General Revenue Fund			
	<i>REGULAR APPROPRIATIONS</i>			
	Regular Appropriations from MOF Table (2016-17 GAA)	\$435,195	\$435,162	\$0
	Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$0	\$439,582
	<i>RIDER APPROPRIATION</i>			
	Art IX, Sec 9.05, Texas.gov Project: Occupational Licenses (2016-17 GAA)	\$2,630	\$3,355	\$0
	Art IX, Sec 18.34, Contingency for SB314 (2018-19 GAA)	\$0	\$0	\$9,092
	<i>TRANSFERS</i>			
	Art IX, Sec 18.02, Salary Increase for General State Employees (2016-17)	\$6,594	\$6,594	\$0
	<i>LAPSED APPROPRIATIONS</i>			
	Regular Appropriation from MOF Table (2016-17 GAA)	\$(20,653)	\$(12,355)	\$0
	Savings due to Hiring Freeze	\$0	\$(8,023)	\$0
TOTAL,	General Revenue Fund	\$423,766	\$424,733	\$448,674
TOTAL, ALL	GENERAL REVENUE	\$423,766	\$424,733	\$448,674

OTHER FUNDS

666 Appropriated Receipts

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2016-17 GAA)

\$8,000 \$8,000 \$0

2.B. Summary of Budget By Method of Finance
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **2/12/2018**
 TIME: **9:27:28AM**

Agency code: **514** Agency name: **Optometry Board**

METHOD OF FINANCING		Exp 2016	Exp 2017	Bud 2018
	Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$0	\$8,000
	<i>RIDER APPROPRIATION</i>			
	Art IX, Sec 8.02, Reimbursements and Payments (2016-17 GAA)	\$775	\$(1,829)	\$0
TOTAL,	Appropriated Receipts	\$8,775	\$6,171	\$8,000
<u>777</u>	Interagency Contracts			
	<i>REGULAR APPROPRIATIONS</i>			
	Regular Appropriations from MOF Table (2016-17 GAA)	\$37,321	\$37,321	\$0
	Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$0	\$37,321
	<i>RIDER APPROPRIATION</i>			
	Art IX, Sec 8.02, Reimbursements and Payments (2016-17 GAA)	\$6,262	\$7,262	\$0
TOTAL,	Interagency Contracts	\$43,583	\$44,583	\$37,321
TOTAL, ALL	OTHER FUNDS	\$52,358	\$50,754	\$45,321
GRAND TOTAL		\$476,124	\$475,487	\$493,995

2.B. Summary of Budget By Method of Finance
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **2/12/2018**
 TIME: **9:27:28AM**

Agency code: **514** Agency name: **Optometry Board**

METHOD OF FINANCING	Exp 2016	Exp 2017	Bud 2018
FULL-TIME-EQUIVALENT POSITIONS			
REGULAR APPROPRIATIONS			
Regular Appropriations for MOF Table (2016-17 GAA)	7.0	7.0	0.0
Regular Appropriations from MOF Table (2018-19 GAA)	0.0	0.0	7.0
LAPSED APPROPRIATIONS			
Governor's Hiring Freeze	0.0	(0.3)	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP			
Unauthorized Number Over(Below) CAP	(0.2)	0.0	0.0
TOTAL, ADJUSTED FTES	6.8	6.7	7.0

NUMBER OF 100% FEDERALLY FUNDED FTEs

2.C. Summary of Budget By Object of Expense
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **2/12/2018**
 TIME: **9:28:19AM**

Agency code: **514** Agency name: **Optometry Board**

OBJECT OF EXPENSE	EXP 2016	EXP 2017	BUD 2018
1001 SALARIES AND WAGES	\$302,984	\$304,329	\$307,798
1002 OTHER PERSONNEL COSTS	\$23,377	\$25,950	\$28,000
2001 PROFESSIONAL FEES AND SERVICES	\$39,537	\$39,511	\$39,250
2003 CONSUMABLE SUPPLIES	\$2,451	\$3,011	\$1,900
2004 UTILITIES	\$514	\$514	\$600
2005 TRAVEL	\$21,309	\$24,568	\$22,000
2006 RENT - BUILDING	\$138	\$177	\$150
2007 RENT - MACHINE AND OTHER	\$1,652	\$1,652	\$1,700
2009 OTHER OPERATING EXPENSE	\$84,162	\$75,775	\$92,597
Agency Total	\$476,124	\$475,487	\$493,995

2.D. Summary of Budget By Objective Outcomes
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 2/12/2018
 Time: 9:29:40AM

Agency code: 514

Agency name: **Optometry Board**

Goal/ Objective / OUTCOME	Exp 2016	Exp 2017	Bud2018
1 Manage Quality Program of Examination and Licensure, Enforce Statutes			
1 <i>Operate Licensing and Enforcement System to Ensure Standards Are Met</i>			
KEY 1 Percent of Licensees with No Recent Violations	98.64 %	98.65 %	98.00 %
2 Percent of Complaints Resulting in Disciplinary Action	22.63 %	15.00 %	2.00 %
3 Recidivism Rate for Those Receiving Disciplinary Action	0.00 %	3.00 %	0.00 %
4 Percent of Documented Complaints Resolved within Six Months	69.34 %	80.20 %	86.50 %
5 Percent Licensees Meeting Continuing Education Requirements	100.00 %	100.00 %	98.00 %
KEY 6 Percent of Licensees Who Renew Online	96.00 %	96.00 %	90.00 %
7 Percent of New Individual Licenses Issued Online	0.00 %	0.00 %	0.00 %
8 Recidivism Rate for Peer Assistance Program	0.00 %	0.00 %	0.00 %
9 One-Year Completion Rate for Peer Assistance Program	100.00 %	100.00 %	0.00 %

3.A. Strategy Level Detail

DATE: 2/12/2018
TIME: 9:30:45AM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met
STRATEGY: 1 Operate an Efficient & Comprehensive Licensure & Enforcement System

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	Number of New Licenses Issued to Individuals	238.00	228.00	189.00
KEY 2	Number of Licenses Renewed (Individuals)	4,308.00	4,480.00	4,380.00
KEY 3	Number of Complaints Resolved	137.00	116.00	140.00
4	Number of Continuing Education Courses Approved	679.00	851.00	800.00
KEY 5	Number of Investigations Conducted	64.00	63.00	63.00
Efficiency Measures:				
KEY 1	Average Time for Complaint Resolution	159.69	142.31	115.00
2	Percent New Licenses Issued within 10 Days	98.00 %	78.00 %	100.00 %
3	Percent of Individual License Renewals within Seven Days	99.36 %	99.31 %	97.00 %
Explanatory/Input Measures:				
1	Total Number of Individuals Licensed	4,541.00	4,666.00	4,600.00
2	Pass Rate	99.60	100.00	95.00
3	Number of Jurisdictional Complaints Received	130.00	114.00	150.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$220,539	\$221,050	\$223,254
1002	OTHER PERSONNEL COSTS	\$16,865	\$19,834	\$20,000
2001	PROFESSIONAL FEES AND SERVICES	\$3,537	\$3,511	\$3,250
2003	CONSUMABLE SUPPLIES	\$2,451	\$3,011	\$1,900
2004	UTILITIES	\$514	\$514	\$600
2005	TRAVEL	\$21,309	\$24,568	\$22,000
2006	RENT - BUILDING	\$138	\$177	\$150
2007	RENT - MACHINE AND OTHER	\$1,652	\$1,652	\$1,700
2009	OTHER OPERATING EXPENSE	\$62,084	\$52,947	\$50,275

3.A. Strategy Level Detail

DATE: 2/12/2018
TIME: 9:30:45AM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met
STRATEGY: 1 Operate an Efficient & Comprehensive Licensure & Enforcement System

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
TOTAL, OBJECT OF EXPENSE		\$329,089	\$327,264	\$323,129
Method of Financing:				
1	General Revenue Fund	\$276,731	\$276,510	\$277,808
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$276,731	\$276,510	\$277,808
Method of Financing:				
666	Appropriated Receipts	\$8,775	\$6,171	\$8,000
777	Interagency Contracts	\$43,583	\$44,583	\$37,321
SUBTOTAL, MOF (OTHER FUNDS)		\$52,358	\$50,754	\$45,321
TOTAL, METHOD OF FINANCE :		\$329,089	\$327,264	\$323,129
FULL TIME EQUIVALENT POSITIONS:		4.8	4.7	5.0

3.A. Strategy Level Detail

DATE: 2/12/2018
 TIME: 9:30:45AM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes
 OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met
 STRATEGY: 2 Texas.gov. Estimated and Nontransferable

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Objects of Expense:				
	2009 OTHER OPERATING EXPENSE	\$21,255	\$21,995	\$21,230
TOTAL, OBJECT OF EXPENSE		\$21,255	\$21,995	\$21,230
Method of Financing:				
	1 General Revenue Fund	\$21,255	\$21,995	\$21,230
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$21,255	\$21,995	\$21,230
TOTAL, METHOD OF FINANCE :		\$21,255	\$21,995	\$21,230
FULL TIME EQUIVALENT POSITIONS:				

3.A. Strategy Level Detail

DATE: 2/12/2018
 TIME: 9:30:45AM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes
 OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met
 STRATEGY: 3 National Practitioner Data Bank. Estimated and Nontransferable.

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Objects of Expense:				
	2009 OTHER OPERATING EXPENSE	\$0	\$0	\$9,092
	TOTAL, OBJECT OF EXPENSE	\$0	\$0	\$9,092
Method of Financing:				
	1 General Revenue Fund	\$0	\$0	\$9,092
	SUBTOTAL, MOF (GENERAL REVENUE FUNDS)	\$0	\$0	\$9,092
	TOTAL, METHOD OF FINANCE :	\$0	\$0	\$9,092
FULL TIME EQUIVALENT POSITIONS:				

3.A. Strategy Level Detail

DATE: 2/12/2018
 TIME: 9:30:45AM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes
 OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met
 STRATEGY: 4 Indirect Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Objects of Expense:				
1001	SALARIES AND WAGES	\$82,445	\$83,279	\$84,544
1002	OTHER PERSONNEL COSTS	\$6,512	\$6,116	\$8,000
2005	TRAVEL	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$823	\$833	\$12,000
TOTAL, OBJECT OF EXPENSE		\$89,780	\$90,228	\$104,544
Method of Financing:				
1	General Revenue Fund	\$89,780	\$90,228	\$104,544
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$89,780	\$90,228	\$104,544
TOTAL, METHOD OF FINANCE :		\$89,780	\$90,228	\$104,544
FULL TIME EQUIVALENT POSITIONS:		2.0	2.0	2.0

3.A. Strategy Level Detail

DATE: 2/12/2018
 TIME: 9:30:45AM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 514 Agency name: Optometry Board

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes
 OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met
 STRATEGY: 5 Provide a Peer Assistance Program for Licensed Individuals

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	# of Licensed Individuals Participating in a Peer Assistance Program	1.00	1.00	3.00
Objects of Expense:				
2001	PROFESSIONAL FEES AND SERVICES	\$36,000	\$36,000	\$36,000
TOTAL, OBJECT OF EXPENSE		\$36,000	\$36,000	\$36,000
Method of Financing:				
1	General Revenue Fund	\$36,000	\$36,000	\$36,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$36,000	\$36,000	\$36,000
TOTAL, METHOD OF FINANCE :		\$36,000	\$36,000	\$36,000
FULL TIME EQUIVALENT POSITIONS:				

3.A. Strategy Level Detail

DATE: 2/12/2018

TIME: 9:30:45AM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$476,124	\$475,487	\$493,995
METHODS OF FINANCE :	\$476,124	\$475,487	\$493,995
FULL TIME EQUIVALENT POSITIONS:	6.8	6.7	7.0

4.D. Estimated Revenue Collections Supporting Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 2/12/2018
 TIME: 9:32:01AM

Agency Code: 514

Agency name: Optometry Board

FUND/ACCOUNT	Exp 2016	Exp 2017	Bud 2018
1 General Revenue Fund			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3562 Health Related Profession Fees	803,078	827,297	805,000
3570 Peer Assistance Prog Fees	42,510	43,960	36,000
3752 Sale of Publications/Advertising	8,775	6,171	8,000
3765 Supplies/Equipment/Services	43,583	44,583	37,321
3770 Administrative Penalties	12,200	8,866	8,000
3790 Deposit to Trust or Suspense	132,631	137,155	132,000
Subtotal: Estimated Revenue	<u>1,042,777</u>	<u>1,068,032</u>	<u>1,026,321</u>
Total Available	<u>\$1,042,777</u>	<u>\$1,068,032</u>	<u>\$1,026,321</u>
DEDUCTIONS:			
Total Expenses	(476,124)	(475,487)	(484,903)
Employee Benefits	(106,773)	(112,542)	(115,000)
Total, Deductions	<u>\$(582,897)</u>	<u>\$(588,029)</u>	<u>\$(599,903)</u>
Ending Fund/Account Balance	<u>\$459,880</u>	<u>\$480,003</u>	<u>\$426,418</u>

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Mark T Patterson

4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 2/12/2018
 TIME: 9:33:31AM

Agency code: 514

Agency name: Optometry Board

		Exp 2017	Bud 2018	Est 2019	Est 2020	Est 2021
Expanded or New Initiative: 1.Database for Collecting Information						
Legal Authority for Item: SB1 85th Leg R.S. Section 351.2526, Occ Code						
Description/Key Assumptions (including start up/implementation costs and ongoing costs): Sec 351.2526 required agency to query national databank for each licensee each year. Only costs are query costs.						
State Budget by Program:	Review of National Practitioner Database					
IT Component:	No					
Involve Contracts > \$50,000:	No					
Objects of Expense						
Strategy: 1-1-3 NATIONAL PRACTITIONER DATA BANK						
2009	OTHER OPERATING EXPENSE	\$0	\$9,092	\$9,092	\$9,092	\$9,092
	SUBTOTAL, Strategy 1-1-3	\$0	\$9,092	\$9,092	\$9,092	\$9,092
	TOTAL, Objects of Expense	\$0	\$9,092	\$9,092	\$9,092	\$9,092
Method of Financing						
GENERAL REVENUE FUNDS						
Strategy: 1-1-3 NATIONAL PRACTITIONER DATA BANK						
1	General Revenue Fund	\$0	\$9,092	\$9,092	\$9,092	\$9,092
	SUBTOTAL, Strategy 1-1-3	\$0	\$9,092	\$9,092	\$9,092	\$9,092
	SUBTOTAL, GENERAL REVENUE FUNDS	\$0	\$9,092	\$9,092	\$9,092	\$9,092
	TOTAL, Method of Financing	\$0	\$9,092	\$9,092	\$9,092	\$9,092

4.F. Part B Summary of Costs Related to Recently Enacted State Legislation
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 2/12/2018
 TIME: 9:34:22AM

Agency code: 514

Agency name: Optometry Board

ITEM EXPANDED OR NEW INITIATIVE	Exp 2017	Bud 2018	Est 2019	Est 2020	Est 2021
1 Database for Collecting Information	\$0	\$9,092	\$9,092	\$9,092	\$9,092
Total, Cost Related to Expanded or New Initiatives	\$0	\$9,092	\$9,092	\$9,092	\$9,092
METHOD OF FINANCING					
GENERAL REVENUE FUNDS	\$0	\$9,092	\$9,092	\$9,092	\$9,092
Total, Method of Financing	\$0	\$9,092	\$9,092	\$9,092	\$9,092

FULL-TIME-EQUIVALENTS (FTES):