

Operating Budget

Fiscal Year 2020

Submitted to the
Governor's Office of Budget & Planning, and the
Legislative Budget Board

By

Texas Optometry Board

November 26, 2019 (amended 12/18/2019)

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Budget Overview
86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

514 Optometry Board

	GENERAL REVENUE FUNDS				OTHER FUNDS				ALL FUNDS	
	2019	2020	2019	2020	2019	2020	2019	2020	2019	2020
Goal: 1. Manage Quality Program of Examination and Licensure, Enforce Statutes										
1.1.1. Licensure And Enforcement	272,685	291,220					56,225	45,321	328,910	336,541
1.1.2. Texas.Gov	22,960	21,230							22,960	21,230
1.1.3. National Practitioner Data Bank	9,092	9,092							9,092	9,092
1.1.4. Indirect Administration	99,544	105,404							99,544	105,404
1.1.5. Peer Assistance	36,000	36,000							36,000	36,000
Total, Goal	440,281	462,946					56,225	45,321	496,506	508,267
Total, Agency	440,281	462,946					56,225	45,321	496,506	508,267
Total FTEs									7.0	7.0

2.A. Summary of Budget By Strategy

DATE : 1/8/2020
 TIME : 8:47:20AM

86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 514 Agency name: Optometry Board

Goal/Objective/STRATEGY	EXP 2018	EXP 2019	BUD 2020
1 Manage Quality Program of Examination and Licensure, Enforce Statutes			
1 Operate Licensing and Enforcement System to Ensure Standards Are Met			
1 LICENSURE AND ENFORCEMENT	\$324,237	\$329,272	\$336,541
2 TEXAS.GOV	\$22,395	\$22,960	\$21,230
3 NATIONAL PRACTITIONER DATA BANK	\$9,092	\$9,092	\$9,092
4 INDIRECT ADMINISTRATION	\$94,128	\$99,544	\$105,404
5 PEER ASSISTANCE	\$36,000	\$36,000	\$36,000
TOTAL, GOAL 1	\$485,852	\$496,868	\$508,267

2.A. Summary of Budget By Strategy

DATE : 1/8/2020
 TIME : 8:47:20AM

86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 514 Agency name: Optometry Board

<i>Goal/Objective/STRATEGY</i>	EXP 2018	EXP 2019	BUD 2020
General Revenue Funds:			
1 General Revenue Fund	\$436,894	\$440,643	\$462,946
	\$436,894	\$440,643	\$462,946
Other Funds:			
666 Appropriated Receipts	\$5,135	\$5,980	\$8,000
777 Interagency Contracts	\$43,823	\$50,245	\$37,321
	\$48,958	\$56,225	\$45,321
TOTAL, METHOD OF FINANCING	\$485,852	\$496,868	\$508,267
FULL TIME EQUIVALENT POSITIONS	6.3	7.0	7.0

2.B. Summary of Budget By Method of Finance
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **1/8/2020**
 TIME: **8:50:12AM**

Agency code: **514** Agency name: **Optometry Board**

METHOD OF FINANCING	Exp 2018	Exp 2019	Bud 2020
<u>GENERAL REVENUE</u>			
<u>1</u> General Revenue Fund			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2018-19 GAA)	\$439,582	\$438,582	\$0
Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$462,946
<i>RIDER APPROPRIATION</i>			
Art IX, Sec 9.05, Texas.gov Project: Occupational Licenses (2018-19 GAA)	\$1,165	\$4,335	\$0
Art IX, Sec 18.34, Contingency for SB314 (2018-19 GAA)	\$9,092	\$9,092	\$0
<i>LAPSED APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2018-19 GAA)	\$(12,945)	\$(11,366)	\$0
TOTAL, General Revenue Fund	\$436,894	\$440,643	\$462,946
TOTAL, ALL GENERAL REVENUE	\$436,894	\$440,643	\$462,946

OTHER FUNDS

<u>666</u> Appropriated Receipts			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2018-19 GAA)	\$8,000	\$8,000	\$0
Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$8,000
<i>RIDER APPROPRIATION</i>			
Art IX, Sec 8.02, Reimbursements and Payments (2018-19 GAA)	\$(2,865)	\$(2,020)	\$0

2.B. Summary of Budget By Method of Finance
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 1/8/2020
 TIME: 8:50:12AM

Agency code: **514** Agency name: **Optometry Board**

METHOD OF FINANCING		Exp 2018	Exp 2019	Bud 2020
TOTAL,	Appropriated Receipts	\$5,135	\$5,980	\$8,000
<u>777</u>	Interagency Contracts			
	<i>REGULAR APPROPRIATIONS</i>			
	Regular Appropriations from MOF Table (2018-19 GAA)	\$37,321	\$37,321	\$0
	Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$37,321
	<i>RIDER APPROPRIATION</i>			
	Art IX, Sec 8.02, Reimbursements and Payments (2018-19 GAA)	\$6,502	\$12,924	\$0
TOTAL,	Interagency Contracts	\$43,823	\$50,245	\$37,321
TOTAL, ALL	OTHER FUNDS	\$48,958	\$56,225	\$45,321
GRAND TOTAL		\$485,852	\$496,868	\$508,267
FULL-TIME-EQUIVALENT POSITIONS				
<i>REGULAR APPROPRIATIONS</i>				
	Regular Appropriations from MOF Table (2018-19 GAA)	7.0	7.0	0.0
	Regular Appropriations from MOF Table (2020-21 GAA)	0.0	0.0	7.0
<i>UNAUTHORIZED NUMBER OVER (BELOW) CAP</i>				
	UNAUTHORIZED NUMBER OVER (BELOW) CAP	(0.7)	0.0	0.0
TOTAL, ADJUSTED FTES		6.3	7.0	7.0

2.B. Summary of Budget By Method of Finance
86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **1/8/2020**
TIME: **8:50:12AM**

Agency code: **514**

Agency name: **Optometry Board**

METHOD OF FINANCING

Exp 2018

Exp 2019

Bud 2020

NUMBER OF 100% FEDERALLY FUNDED FTEs

2.C. Summary of Budget By Object of Expense
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **1/8/2020**
 TIME: **8:51:33AM**

Agency code: **514**

Agency name: **Optometry Board**

OBJECT OF EXPENSE	EXP 2018	EXP 2019	BUD 2020
1001 SALARIES AND WAGES	\$294,222	\$321,841	\$345,062
1002 OTHER PERSONNEL COSTS	\$14,760	\$12,260	\$18,000
2001 PROFESSIONAL FEES AND SERVICES	\$39,112	\$38,814	\$39,500
2003 CONSUMABLE SUPPLIES	\$2,676	\$2,664	\$1,950
2004 UTILITIES	\$387	\$386	\$700
2005 TRAVEL	\$24,146	\$20,567	\$25,200
2006 RENT - BUILDING	\$180	\$180	\$180
2007 RENT - MACHINE AND OTHER	\$1,717	\$1,522	\$1,700
2009 OTHER OPERATING EXPENSE	\$108,652	\$93,292	\$75,975
5000 CAPITAL EXPENDITURES	\$0	\$5,342	\$0
Agency Total	\$485,852	\$496,868	\$508,267

2.D. Summary of Budget By Objective Outcomes
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/22/2019
 Time: 8:43:42AM

Agency code: 514 Agency name: **Optometry Board**

Goal/ Objective / OUTCOME	Exp 2018	Exp 2019	Bud2020
1 Manage Quality Program of Examination and Licensure, Enforce Statutes			
1 <i>Operate Licensing and Enforcement System to Ensure Standards Are Met</i>			
KEY 1 Percent of Licensees with No Recent Violations	98.61 %	98.58 %	98.00 %
 2 Percent of Complaints Resulting in Disciplinary Action	14.93 %	12.70 %	2.00 %
 3 Recidivism Rate for Those Receiving Disciplinary Action	2.94 %	0.00 %	0.00 %
 4 Percent of Documented Complaints Resolved within Six Months	75.00 %	57.00 %	86.50 %
 5 Percent Licensees Meeting Continuing Education Requirements	100.00 %	100.00 %	98.00 %
KEY 6 Percent of Licensees Who Renew Online	97.00 %	97.50 %	90.00 %
 7 Percent of New Individual Licenses Issued Online	0.00 %	0.00 %	0.00 %
 8 Recidivism Rate for Peer Assistance Program	0.00 %	0.00 %	0.00 %
 9 One-Year Completion Rate for Peer Assistance Program	100.00 %	0.00 %	0.00 %

3.A. Strategy Level Detail

DATE: 1/8/2020
TIME: 8:52:29AM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met
STRATEGY: 1 Operate an Efficient & Comprehensive Licensure & Enforcement System

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Output Measures:				
KEY 1	Number of New Licenses Issued to Individuals	223.00	241.00	200.00
KEY 2	Number of Licenses Renewed (Individuals)	4,562.00	4,654.00	4,500.00
KEY 3	Number of Complaints Resolved	134.00	141.00	140.00
4	Number of Continuing Education Courses Approved	824.00	782.00	800.00
KEY 5	Number of Investigations Conducted	65.00	64.00	63.00
Efficiency Measures:				
KEY 1	Average Time for Complaint Resolution	135.66	462.60	115.00
2	Percent New Licenses Issued within 10 Days	100.00 %	100.00 %	100.00 %
3	Percent of Individual License Renewals within Seven Days	100.00 %	100.00 %	97.00 %
Explanatory/Input Measures:				
1	Total Number of Individuals Licensed	4,751.00	4,860.00	4,800.00
2	Pass Rate	100.00 %	99.00 %	95.00 %
3	Number of Jurisdictional Complaints Received	157.00	135.00	150.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$221,643	\$230,566	\$250,062
1002	OTHER PERSONNEL COSTS	\$10,280	\$10,240	\$13,000
2001	PROFESSIONAL FEES AND SERVICES	\$3,112	\$2,814	\$3,500
2003	CONSUMABLE SUPPLIES	\$2,676	\$2,664	\$1,950
2004	UTILITIES	\$387	\$386	\$700
2005	TRAVEL	\$24,146	\$20,567	\$25,200
2006	RENT - BUILDING	\$180	\$180	\$180
2007	RENT - MACHINE AND OTHER	\$1,717	\$1,522	\$1,700
2009	OTHER OPERATING EXPENSE	\$60,096	\$54,991	\$40,249
5000	CAPITAL EXPENDITURES	\$0	\$5,342	\$0

3.A. Strategy Level Detail

DATE: 1/8/2020
 TIME: 8:52:29AM

86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes
 OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met
 STRATEGY: 1 Operate an Efficient & Comprehensive Licensure & Enforcement System

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
TOTAL, OBJECT OF EXPENSE		\$324,237	\$329,272	\$336,541
Method of Financing:				
1	General Revenue Fund	\$275,279	\$273,047	\$291,220
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$275,279	\$273,047	\$291,220
Method of Financing:				
666	Appropriated Receipts	\$5,135	\$5,980	\$8,000
777	Interagency Contracts	\$43,823	\$50,245	\$37,321
SUBTOTAL, MOF (OTHER FUNDS)		\$48,958	\$56,225	\$45,321
TOTAL, METHOD OF FINANCE :		\$324,237	\$329,272	\$336,541
FULL TIME EQUIVALENT POSITIONS:		5.0	4.5	4.5

3.A. Strategy Level Detail

DATE: 1/8/2020
 TIME: 8:52:29AM

86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes
 OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met
 STRATEGY: 2 Texas.gov. Estimated and Nontransferable

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Objects of Expense:				
	2009 OTHER OPERATING EXPENSE	\$22,395	\$22,960	\$21,230
	TOTAL, OBJECT OF EXPENSE	\$22,395	\$22,960	\$21,230
Method of Financing:				
	1 General Revenue Fund	\$22,395	\$22,960	\$21,230
	SUBTOTAL, MOF (GENERAL REVENUE FUNDS)	\$22,395	\$22,960	\$21,230
	TOTAL, METHOD OF FINANCE :	\$22,395	\$22,960	\$21,230
FULL TIME EQUIVALENT POSITIONS:				

3.A. Strategy Level Detail

DATE: 1/8/2020
 TIME: 8:52:29AM

86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes
 OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met
 STRATEGY: 3 National Practitioner Data Bank. Estimated and Nontransferable.

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Objects of Expense:				
	2009 OTHER OPERATING EXPENSE	\$9,092	\$9,092	\$9,092
TOTAL, OBJECT OF EXPENSE		\$9,092	\$9,092	\$9,092
Method of Financing:				
	1 General Revenue Fund	\$9,092	\$9,092	\$9,092
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$9,092	\$9,092	\$9,092
TOTAL, METHOD OF FINANCE :		\$9,092	\$9,092	\$9,092
FULL TIME EQUIVALENT POSITIONS:				

3.A. Strategy Level Detail

DATE: 1/8/2020
 TIME: 8:52:29AM

86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes
 OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met
 STRATEGY: 4 Indirect Administration

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Objects of Expense:				
1001	SALARIES AND WAGES	\$72,579	\$91,275	\$95,000
1002	OTHER PERSONNEL COSTS	\$4,480	\$2,020	\$5,000
2009	OTHER OPERATING EXPENSE	\$17,069	\$6,249	\$5,404
TOTAL, OBJECT OF EXPENSE		\$94,128	\$99,544	\$105,404
Method of Financing:				
1	General Revenue Fund	\$94,128	\$99,544	\$105,404
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$94,128	\$99,544	\$105,404
TOTAL, METHOD OF FINANCE :		\$94,128	\$99,544	\$105,404
FULL TIME EQUIVALENT POSITIONS:		1.3	2.5	2.5

3.A. Strategy Level Detail

DATE: 1/8/2020
 TIME: 8:52:29AM

86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes
 OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met
 STRATEGY: 5 Provide a Peer Assistance Program for Licensed Individuals

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Output Measures:				
KEY 1	# of Licensed Individuals Participating in a Peer Assistance Program	2.00	3.00	3.00
Objects of Expense:				
2001	PROFESSIONAL FEES AND SERVICES	\$36,000	\$36,000	\$36,000
TOTAL, OBJECT OF EXPENSE		\$36,000	\$36,000	\$36,000
Method of Financing:				
1	General Revenue Fund	\$36,000	\$36,000	\$36,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$36,000	\$36,000	\$36,000
TOTAL, METHOD OF FINANCE :		\$36,000	\$36,000	\$36,000
FULL TIME EQUIVALENT POSITIONS:				

3.A. Strategy Level Detail

DATE: 1/8/2020
TIME: 8:52:29AM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$485,852	\$496,868	\$508,267
METHODS OF FINANCE :	\$485,852	\$496,868	\$508,267
FULL TIME EQUIVALENT POSITIONS:	6.3	7.0	7.0

4.D. Estimated Revenue Collections Supporting Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME: 8:55:54AM

Agency Code: 514

Agency name: Optometry Board

FUND/ACCOUNT	Exp 2018	Exp 2019	Bud 2020
1 General Revenue Fund			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3562 Health Related Profession Fees	843,008	865,882	860,000
3570 Peer Assistance Prog Fees	44,800	45,920	36,000
3752 Sale of Publications/Advertising	5,135	5,980	8,000
3765 Supplies/Equipment/Services	43,823	50,245	37,321
3770 Administrative Penalties	16,050	13,650	9,000
3790 Deposit to Trust or Suspense	143,543	147,167	140,000
Subtotal: Estimated Revenue	<u>1,096,359</u>	<u>1,128,844</u>	<u>1,090,321</u>
Total Available	<u>\$1,096,359</u>	<u>\$1,128,844</u>	<u>\$1,090,321</u>
DEDUCTIONS:			
Total Expenses	(486,851)	(496,506)	(508,267)
Employee Benefits	(99,296)	(102,694)	(106,000)
Total, Deductions	<u>\$(586,147)</u>	<u>\$(599,200)</u>	<u>\$(614,267)</u>
Ending Fund/Account Balance	<u>\$510,212</u>	<u>\$529,644</u>	<u>\$476,054</u>

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Mark T Patterson

4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME: 8:51:51AM

Agency code: 514

Agency name: Optometry Board

		Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
Expanded or New Initiative:		1. Review of National Databank Information				
Legal Authority for Item:		Acts 2017, 85th R.S., ch. 385, General and Special Laws of Texas; Tex. Occ. Code Section 351.2526				
Description/Key Assumptions (including start up/implementation costs and ongoing costs):		Statute requires agency to increase license fees and use the increase to purchase check of licensee information on a national practice databank.				
State Budget by Program:	Enforcement					
IT Component:	No					
Involve Contracts > \$50,000:	No					
Objects of Expense						
Strategy: 1-1-1 LICENSURE AND ENFORCEMENT						
2009	OTHER OPERATING EXPENSE	\$9,092	\$9,092	\$9,092	\$9,092	\$9,092
SUBTOTAL, Strategy 1-1-1		\$9,092	\$9,092	\$9,092	\$9,092	\$9,092
TOTAL, Objects of Expense		\$9,092	\$9,092	\$9,092	\$9,092	\$9,092
Method of Financing						
GENERAL REVENUE FUNDS						
Strategy: 1-1-1 LICENSURE AND ENFORCEMENT						
1	General Revenue Fund	\$9,092	\$9,092	\$9,092	\$9,092	\$9,092
SUBTOTAL, Strategy 1-1-1		\$9,092	\$9,092	\$9,092	\$9,092	\$9,092
SUBTOTAL, GENERAL REVENUE FUNDS		\$9,092	\$9,092	\$9,092	\$9,092	\$9,092
TOTAL, Method of Financing		\$9,092	\$9,092	\$9,092	\$9,092	\$9,092

4.F. Part B Summary of Costs Related to Recently Enacted State Legislation Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME: 8:53:05AM

Agency code: 514

Agency name: Optometry Board

ITEM EXPANDED OR NEW INITIATIVE	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
1 Review of National Databank Information	\$9,092	\$9,092	\$9,092	\$9,092	\$9,092
Total, Cost Related to Expanded or New Initiatives	\$9,092	\$9,092	\$9,092	\$9,092	\$9,092
METHOD OF FINANCING					
GENERAL REVENUE FUNDS	\$9,092	\$9,092	\$9,092	\$9,092	\$9,092
Total, Method of Financing	\$9,092	\$9,092	\$9,092	\$9,092	\$9,092

FULL-TIME-EQUIVALENTS (FTES):